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| Form PTO-1449 U.S. Department of Commerce Patent & Trademark Office INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | Atty. Docket No. 225/49845 | Serial No. NOT YET ASSIGNED |
| Applicant: Joerg BAUMGART et al | | |
| Filing Date: April 9, 2001 | | Group |

| U.S. PATENT DOCUMENTS | | | | | | | |
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| Examiner Initial | | Document Number | Date | Name | Class | Sub-Class | Filing Date (if appropriate) |
| AS | AA | 3,850,526 | 11/26/74 | Corey, III | 356 | 109 | |
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| | | Document | Date | Country | Class | Sub-class | Translation Yes No |
| AS | AK | 0 585 839 A2 | 27.08.93 | EPO | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
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| AS | AQ | <i>Light Transmission Optics</i> , Second Edition, Dietrich MARCUSE (1929) pg. 52-55 |
| AS | AR | <i>Diffraction of light from optical disks</i> , 2417 Optical Engineering 34 (1995) April No. 4, Bellingham, Wash, US Haiming Wang, pps 1098-1104 |
| AS | AS | PCT International Search Report and translation of pertinent portion |
| EXAMINER | | DATE CONSIDERED 5/19/03 |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.